

## HOA BRISCOE-TRAN

Website: <https://www.briscoe-tran.com/> | Email: [hxtran@ualberta.ca](mailto:hxtran@ualberta.ca) | [Google Scholar](#) | [Twitter](#)

### EMPLOYMENT

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| <b>University of Alberta</b><br>Assistant Professor of Finance, Edmonton, Alberta, Canada | July 2023 – Present |
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### EDUCATION

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| <b>The Ohio State University</b><br>Ph.D. in Finance, Columbus, Ohio, USA                 | 2018 – 2023 |
| <b>Temple University</b><br>Graduate Studies, Accounting, Philadelphia, Pennsylvania, USA | 2016 – 2018 |
| <b>University of Economics</b><br>B.S. in Finance, Ho Chi Minh City, Vietnam              | 2011 – 2015 |

### RESEARCH INTERESTS

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Corporate Finance, Corporate Governance, Asset Pricing.  
Big Data and Machine Learning, Sustainable Finance.

### RESEARCH

#### *Published Papers*

“[Measuring Multidimensional Investment Opportunity Sets With 10-K Text](#)” (with Sudipta Basu and Xinjie Ma), *The Accounting Review*, 2022.

#### *Working papers*

“[Can Employees Help Investors See Through ESG Window-Dressing?](#)” solo-authored paper.

- \* **Management Science, Revise & Resubmit**
- \* *Best Paper Award* International Corporate Governance Society - Arizona State University.
- \* *Best Paper Award* at the Alliance for Research on Corporate Sustainability.
- \* *BlackRock Applied Research Award* Finalist.
- \* *Crowell Memorial Prize* Finalist - PanAgora Asset Management.
- \* European Corporate Governance Institute (ECGI) Working Paper No. 907/2023
- \* Media: [Nasdaq](#), [ETF Trends](#), [Duke Law School Blog](#), among others.
- \* Presented at *Empirical Management Conference* – Stanford University, among others.
- \* Seminar presentations: Georgia State, Michigan State, Kentucky, Warwick, Illinois Chicago, Cornell (Johnson), Ohio State, Auburn, Alberta, Erasmus Rotterdam.
- \* **Data: ESG word lists ([link to data](#))**
- \* **Data: ESG insider ratings ([link to data](#))**

“[Are Firms Sacrificing Flexibility for Diversity and Inclusion?](#)” solo-authored paper.

- \* **Best of DESI (Diversity Emerging Scholars Initiative).**
- \* Media: [Columbia Law School Blue Sky Blog](#)
- \* Presented at the *Rising Scholars Conference at Chicago Booth*, 2024 *University of Connecticut Finance Conference*, the *University of Washington's Fostering Inclusion Workshop*.
- \* *Scheduled at 2025 AEA – San Francisco.*
- \* **Data: D&I ratings based on employees' perception ([link to data](#))**

“[Public Sentiment Decomposition and Shareholder Actions](#)” with [Reena Aggarwal](#) (Georgetown University), [Isil Erel](#) (Ohio State University) and [Laura T. Starks](#) (University of Texas Austin).

Updated 11/14/2024

\* Presented at 2023 World Investment Forum (FTSE Russell), 2024 ASSA (San Antonio).

“[Social Premiums](#)” with [Iwan Meier](#) (HEC Montreal), Reem Elabd (HEC Montreal) and [Valeri Sokolovski](#) (University of Alberta).

\* Presented at 2024 Frontiers in Finance Conference.

“[Do investors value DEI? Evidence from the Stop WOKE Act](#)” solo-authored paper.

\* Media: [Columbia Law School Blue Sky Blog](#)

○ Also [Oxford Business Law Blog](#)

○ Also [ProMarket.org](#) by the University of Chicago Booth School of Business.

○ Interviewed by [New York Magazine](#).

\* Scheduled at 2024 Diversity Emerging Scholars Initiatives (DESI).

\* Scheduled at 2024 Owners as Strategists Conference.

### **Works in progress**

“How do firms set project-level discount rates” with [René M. Stulz](#) (Ohio State University) and [Michael Wittry](#) (Ohio State University).

“Do investors monitor bank risk?” with [René M. Stulz](#) (Ohio State University).

“Digital intangibles” with [Runjing Lu](#) (University of Toronto) and [Xi Wu](#) (UC Berkeley).

“Do employee feedback make firms more productive? Evidence from public anonymous platforms” with [Sudipta Basu](#) (Temple University).

\* SSHRC Insight Development Grant, \$62,800 – granted to Hoa Briscoe-Tran as a solo PI.

\* Presented at Temple University Interdisciplinary Brown Bag Seminar.

## **PRESENTATIONS**

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**2025:** American Economic Association Meeting (AEA, scheduled).

**2024:** Owners as Strategists Conference (scheduled), Australasian Finance & Banking Conference (AFBC, scheduled), International Corporate Governance Society (ICGS) Meeting at Arizona State University (ASU, scheduled), Diversity Emerging Scholars Initiative (DESI, scheduled), IWSAS at University of British Columbia (UBC)--, University of Connecticut Finance Conference, University of Washington Fostering Inclusion Workshop, Asian Bureau of Finance and Economic Research (ABFER) Annual Conference, SFS Cavalcade North America (discussant)--, Econometric Society North American Winter Meeting (joint with ASSA Meeting)\*, Frontiers in Finance Conference\*.

**Seminar:** University of Alberta.

**2023:** Empirical Management Conference at Stanford University, AFFECT New Ideas Session, European Corporate Governance Institute (ECGI) Corporate Governance Symposium at University of Delaware, Northern Finance Association (NFA), European Corporate Governance Institute (ECGI) Young Scholars Workshop on Corporate Purpose--, Society of Financial Econometrics (SoFiE) Summer School, Diversity Emerging Scholars Initiative (DESI), Best of DESI Session at the FMA, Financial Intermediation Research Society (FIRS) (discussant), European Finance Association (EFA) (discussant)--, SFS Cavalcade North America (discussant)--, Corporate Misconduct Seminar Series at Freie Universität Berlin, FTSE Russell World Investment Forum\*.

**Seminar:** Cornell University, Erasmus University Rotterdam, Michigan State University, Georgia State University, University of Kentucky, University of Warwick, University of Illinois Chicago, City University of Hong Kong--, University of Alberta, and Ohio State University.

**2022:** Chicago Booth Rising Scholars Conference, BlackRock Applied Research Award Finalist Conference, USC Marshall Finance PhD Conference, Alliance for Research on Corporate Sustainability (ARCS) Annual Conference at Bocconi University, China International Conference in Finance (CICF), Research and Mentoring Symposium in honor of René Stulz, Global Research Alliance for Sustainable Finance, and Investment Conference (GRASFI), Finance PhD Final Countdown at Nova SBE.

**Seminar:** Auburn University, Monash University--, and Ohio State University.

**2021:** PhD Student Symposium at the University of Texas at Austin.

**2019:** Conference on the Convergence of Financial and Managerial Accounting Research\*, AAA Annual Meeting\*, Hawaii Accounting Research Conference\*.

**2018:** SEC Doctoral Student Symposium\*, AAA MidAtlantic Region Meeting\*, Eastern Finance Association Meeting, AAA Financial Accounting and Reporting (FARS) Mid-year Meeting; Temple University.

\* Presentation by co-author; --invited but canceled due to scheduling conflict.

## OTHER ACADEMIC ACTIVITIES

**Invited conferences:** 2022 FRA Meeting, 2023 NBER Big Data Summer Meeting, 2023 NBER Big Data Winter Meeting, 2023 Adam Smith Conference (LSE), 2023 FRA Meeting, 2024 ASU Sonoran Winter Finance Conference, 2024 NBER Summer Institute, 2024 NBER Market Frictions and Financial Risks Meeting.

**Journal reviewing:** Review of Finance, Journal of Corporate Finance.

**Conference reviewing:** GRASFI, ICGS, UN PRI Academic Conference.

**Seminar organizing:** seminar coordinator at the University of Alberta Department of Finance.

## AWARDS

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| <b>Best Paper Award</b> – International Corporate Governance Society<br>Arizona State University, USA                           | 2024 |
| <b>Insight Development Grant (\$62,800)</b><br>Canadian Social Sciences and Humanities Research Council (SSHRC)                 | 2024 |
| <b>PanAgora Asset Management’s Crowell Memorial Prize</b><br>Boston, USA  | 2023 |
| <b>BlackRock Applied Research Award, Finalist</b><br>BlackRock, Inc., New York City, USA  | 2022 |
| <b>René M. Stulz Scholar Development Award</b><br>The Ohio State University, USA  | 2022 |
| <b>Best Paper Award</b> – Alliance for Research on Corporate Sustainability<br>Bocconi University, Italy                        | 2022 |
| <b>Travel Grant, USC Marshall Finance PhD Conference</b><br>University of Southern California, USA                              | 2022 |
| <b>Travel Grant, Workshop on the Financial Economics of Insurance</b><br>Bendheim Center for Finance, Princeton University, USA | 2019 |
| <b>Global Undergraduate Exchange Scholarship (Top 6 in Vietnam)</b><br>The U.S. Department of State                             | 2011 |
| <b>Valedictorian Award (Top 3 in Vietnam)</b><br>University Entrance Examination, Vietnam                                       | 2011 |
| <b>2<sup>nd</sup> Prize – National Mathematical Olympiad</b><br>Vietnam Ministry of Education                                   | 2011 |

## INVITED WORKSHOPS

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| <b>Workshop on the Financial Economics of Insurance</b> | 2019 |
| Princeton University, Princeton, New Jersey, USA        |      |
| <b>Finance Theory Group</b>                             | 2017 |
| Washington University at St. Louis, Missouri, USA       |      |
| <b>Structural Estimation in Corporate Finance</b>       | 2017 |
| University of Michigan, Ann Arbor, Michigan, USA        |      |

## INDUSTRY EXPERIENCE

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| <b>Financial Business Analyst</b>            | 2015 – 2016 |
| UNIBEN Foods Corporation, Vietnam            |             |
| Direct report to CFO and board of directors. |             |
| <b>Actuary Analyst</b>                       | 2015        |
| Global Insurance Corporation, Vietnam        |             |

## OTHERS

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Programming: Python, SAS, Stata, MATLAB.

Interests: hiking, biking, swimming, volleyball, yoga, meditation, and music.