

HOA BRISCOE-TRAN

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EMPLOYMENT

University of Alberta Assistant Professor of Finance, Edmonton, Alberta, Canada	July 2023 – Present
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EDUCATION

The Ohio State University Ph.D. in Finance, Columbus, Ohio, USA	2018 – 2023
Temple University Graduate Studies, Accounting, Philadelphia, Pennsylvania, USA	2016 – 2018
University of Economics B.S. in Finance, Ho Chi Minh City, Vietnam	2011 – 2015

RESEARCH INTERESTS

Corporate Finance, Corporate Governance, Asset Pricing.
Big Data and Machine Learning, Labor and Finance.

RESEARCH

Published Papers

“[Measuring Multidimensional Investment Opportunity Sets With 10-K Text](#)” (with Sudipta Basu and Xinjie Ma), *The Accounting Review*, 2022.

Working papers

“[Are Firms Sacrificing Flexibility for Diversity and Inclusion?](#)” *solo-authored paper*.

- * **Journal of Financial Economics, Revise & Resubmit**
- * 2025 John L. Weinberg/IRRCi Research Paper Award Finalist, at University of Delaware Weinberg Center Corporate Governance Symposium with European Corporate Governance Institute (ECGI) Corporate Governance Symposium.
- * 2023 Best of DESI (Diversity Emerging Scholars Initiative).
- * Media: [Columbia Law School Blue Sky Blog](#)
- * Presented at the *Rising Scholars Conference at Chicago Booth*, 2024 *University of Connecticut Finance Conference*, the *University of Washington's Fostering Inclusion Workshop*.
- * Scheduled at 2025 AEA – San Francisco.
- * **Data: D&I ratings based on employees' perception ([link to data](#))**

“[Do Employees Have Useful Information About Firms' ESG Practices?](#)” *solo-authored paper*.

- * **Management Science, Revise & Resubmit**
- * *Best Paper Award* International Corporate Governance Society – Arizona State University.
- * *Best Paper Award* at Alliance for Research on Corporate Sustainability – Bocconi University.
- * *BlackRock Applied Research Award* Finalist.
- * *Crowell Memorial Prize* Finalist - PanAgora Asset Management.
- * European Corporate Governance Institute (ECGI) Working Paper No. 907/2023
- * Media: [Nasdaq](#), [ETF Trends](#), [Duke Law School Blog](#), among others.
- * Presented at *Empirical Management Conference* – Stanford University, Rotman Sustainable Finance Research Roundtable (University of Toronto) (*scheduled*), among others.
- * Seminar presentations: Georgia State, Michigan State, Kentucky, Warwick, Illinois Chicago, Cornell (Johnson), Ohio State, Auburn, Alberta, Erasmus Rotterdam.

Updated 3/9/2025

- * **Data:** ESG word lists ([link to data](#))
- * **Data:** ESG insider ratings ([link to data](#))

“[Public Sentiment Decomposition and Shareholder Actions](#)” with [Reena Aggarwal](#) (Georgetown University), [Isil Erel](#) (Ohio State University) and [Laura T. Starks](#) (University of Texas Austin).

- * **Media:** [Harvard Law School Forum on Corporate Governance](#)
- * Presented: 2023 World Investment Forum (FTSE Russell), 2024 ASSA (San Antonio).
- * *Scheduled:* 2025 Drexel/ECGI Corporate Governance Conference, 2025 UC Davis-FMA Napa Finance Conference*, 2025 Research Seminar by FMA and Finance, Economics and Banking Research Network (FEB-RN)* (*scheduled*).
- * Seminar: Temple University joint Accounting and Finance Seminar (*scheduled*), University of Florida (*scheduled*)*, University of Oklahoma (*scheduled*)*.

“[Social Premiums](#)” with [Iwan Meier](#) (HEC Montreal), [Reem Elabd](#) (HEC Montreal) and [Valeri Sokolovski](#) (University of Alberta).

- * Presented at 2024 *Frontiers in Finance Conference*.

“[Do investors value DEI? Evidence from the Stop WOKE Act](#)” solo-authored paper.

- * Media: [Columbia Law School Blue Sky Blog](#)
 - o Also [Oxford Business Law Blog](#)
 - o Also [ProMarket.org](#) by the University of Chicago Booth School of Business.
 - o Interviewed by [New York Magazine](#).
- * Presented: *Diversity Emerging Scholars Initiatives (DESI)*, *Owners as Strategists Conference*.
- * Seminar: University of Alberta, Monash University, University of Sydney, University Technology Sydney, Florida State University* (*scheduled*).
- * *Scheduled:* 2025 Spring Labor and Finance Group Meeting at Georgetown University, 2025 Quadrant Behavioral Finance Conference.

PRESENTATIONS

2025: American Economic Association Meeting (AEA), Drexel Corporate Governance Conference (*scheduled*), Labor and Finance Group Meeting at Georgetown University (*scheduled*), UC Davis-FMA Napa Finance Conference* (*scheduled*), University of Delaware Weinberg Center Corporate Governance Symposium – European Corporate Governance Institute (ECGI) Corporate Governance Symposium (*scheduled*), Research Seminars in Finance, Economics and Banking (RSiFEB) by FMA and Finance, Economics and Banking Research Network (FEB-RN)* (*scheduled*), Rotman Sustainable Finance Research Roundtable (University of Toronto) (*scheduled*), Quadrant Behavioral Finance Conference (*scheduled*).

Seminar: University of Florida (*scheduled*)*, University of Oklahoma (*scheduled*)*, Florida State University* (*scheduled*), Temple University (*scheduled*).

2024: Owners as Strategists Conference, Australasian Finance & Banking Conference (AFBC), International Corporate Governance Society (ICGS) Meeting at Arizona State University (ASU), Diversity Emerging Scholars Initiative (DESI), IWSAS at University of British Columbia (UBC)--, University of Connecticut Finance Conference, University of Washington Fostering Inclusion Workshop, Asian Bureau of Finance and Economic Research (ABFER) Annual Conference, SFS Cavalcade North America (discussant)--, Econometric Society North American Winter Meeting (joint with ASSA Meeting)*, *Frontiers in Finance Conference**.

Seminar: University of Alberta, Monash University, University of Sydney, University Technology Sydney.

2023: Empirical Management Conference at Stanford University, AFFECT New Ideas Session, European Corporate Governance Institute (ECGI) Corporate Governance Symposium at University of Delaware, Northern Finance Association (NFA), European Corporate Governance Institute (ECGI) Young Scholars Workshop on Corporate Purpose--, Society of Financial Econometrics (SoFiE) Summer School, Diversity Emerging Scholars Initiative (DESI), Best of DESI Session at the FMA, Financial Intermediation Research Society (FIRS) (discussant), European Finance Association (EFA) (discussant)--, SFS Cavalcade North America (discussant)--, Corporate Misconduct Seminar Series at Freie Universität Berlin, FTSE Russell World Investment Forum*.

Seminar: Cornell University, Erasmus University Rotterdam, Michigan State University, Georgia State University, University of Kentucky, University of Warwick, University of Illinois Chicago, City University of Hong Kong--, University of Alberta, and Ohio State University.

2022: Chicago Booth Rising Scholars Conference, BlackRock Applied Research Award Finalist Conference, USC Marshall Finance PhD Conference, Alliance for Research on Corporate Sustainability (ARCS) Annual Conference at Bocconi University, China International Conference in Finance (CICF), Research and Mentoring Symposium in honor of René Stulz, Global Research Alliance for Sustainable Finance, and Investment Conference (GRASFI), Finance PhD Final Countdown at Nova SBE.

Seminar: Auburn University, Monash University--, and Ohio State University.

2021: PhD Student Symposium at the University of Texas at Austin.

2019: Conference on the Convergence of Financial and Managerial Accounting Research*, AAA Annual Meeting*, Hawaii Accounting Research Conference*.

2018: SEC Doctoral Student Symposium*, AAA MidAtlantic Region Meeting*, Eastern Finance Association Meeting, AAA Financial Accounting and Reporting (FARS) Mid-year Meeting; Temple University.

* Presentation by co-author; --invited but canceled due to scheduling conflict.

OTHER ACADEMIC ACTIVITIES

Invited conferences: 2022 FRA Meeting, 2023 NBER Big Data Summer Meeting, 2023 NBER Big Data Winter Meeting, 2023 Adam Smith Conference (LSE), 2023 FRA Meeting, 2024 ASU Sonoran Winter Finance Conference, 2024 NBER Summer Institute, 2024 NBER Market Frictions and Financial Risks Meeting.

Journal reviewing: Review of Finance, Journal of Corporate Finance, Review of Corporate Finance Studies, Journal of Empirical Finance.

Conference reviewing: GRASFI, ICGS, UN PRI Academic Conference.

Grant reviewing: Canadian Social Sciences and Humanities Research Council (SSHRC)

Seminar organizing: seminar coordinator at the University of Alberta Department of Finance.

AWARDS

John L. Weinberg/IRRCi Research Paper Award	2025
University of Delaware Weinberg Center Corporate Governance Symposium with European Corporate Governance Institute (ECGI)	
Best Paper Award – International Corporate Governance Society	2024
Arizona State University, USA	
Insight Development Grant (\$62,800)	2024
Canadian Social Sciences and Humanities Research Council (SSHRC)	
PanAgora Asset Management's Crowell Memorial Prize	2023

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Boston, USA	
BlackRock Applied Research Award, Finalist	2022
BlackRock, Inc., New York City, USA	
René M. Stulz Scholar Development Award	2022
The Ohio State University, USA	
Best Paper Award – Alliance for Research on Corporate Sustainability	2022
Bocconi University, Italy	
Travel Grant, USC Marshall Finance PhD Conference	2022
University of Southern California, USA	
Travel Grant, Workshop on the Financial Economics of Insurance	2019
Bendheim Center for Finance, Princeton University, USA	
Global Undergraduate Exchange Scholarship (Top 6 in Vietnam)	2011
The U.S. Department of State	
Valedictorian Award (Top 3 in Vietnam)	2011
University Entrance Examination, Vietnam	
2nd Prize – National Mathematical Olympiad	2011
Vietnam Ministry of Education	

INVITED WORKSHOPS

Workshop on the Financial Economics of Insurance	2019
Princeton University, Princeton, New Jersey, USA	
Finance Theory Group	2017
Washington University at St. Louis, Missouri, USA	
Structural Estimation in Corporate Finance	2017
University of Michigan, Ann Arbor, Michigan, USA	

INDUSTRY EXPERIENCE

Financial Business Analyst	2015 – 2016
UNIBEN Foods Corporation, Vietnam	
Direct report to CFO and board of directors.	
Actuary Analyst	2015
Global Insurance Corporation, Vietnam	

OTHERS

Programming: Python, SAS, Stata, MATLAB.

Interests: hiking, biking, swimming, volleyball, yoga, meditation, and music.